box → +

PTO/SB/05 (2/98)

Please type a plus sign (+) inside UTILITY

PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. TI-29010 First Named Inventor or Application Identifier

Jacek Stachurski

Spectral Harmonic Encoding in Speech Compression

EL233151729US Express Mail Label No.

On Page 1 of the specification, before line 1, insert -- This application claims priority under 35 USC § 119(e)(1) of provisional application number 60/124.089 filed 03/12/1999 --

| APPLICATION ELEMENTS | | DDRESS | Assistant Commiss | | | |
|--|---|---|--|----------------------------|--|--|
| See MPEP Chapter 600 concerning utility patent application contents | | DDNEOU | Box Patent Applica Washington, DC 2 | | | |
| 1. X *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | | 6. | Microfiche Computer Program (Appendix) | | | |
| - (preierred arrangement set total below) | 3 | | eotide and/or Amino Acid Sequence plicable, all necessary) | e Submission | | |
| Descriptive title of the Invention Cross References to Related Applications | Descriptive title of the Invention Cross References to Related Applications | | | Сору | | |
| Statement Regarding Fed sponsored R&D Reference to Microfiche Appendix | | b. | Paper Copy (identica | I to computer copy) | | |
| Background of the Invention Brief Summary of the Invention | | c. | Statement verifying in | dentical of above copies | | |
| - Brief Description of the Drawings (if filed) - Detailed Description | | | | | | |
| - Claim(s) - Abstract of the Disclosure | | 8. X | Assignment Papers (cover sheet | & Documents(s)) | | |
| | 7 1 | 9. | 37 CFR §3.73(b) Statement (when there is an assignee) | Power of Attorney | | |
| 4. Oath or Declaration [Total Pages | 2] | 10. | English Translation Document (ii | f applicable) | | |
| a. X Newly Executed (original or copy) | | 11. | Information Disclosure Statement (IDS)/PTO-1449 | Copies of IDS Citations | | |
| b. Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) | 12. | Preliminary Amendment | | | | |
| [Note Box 5 below] | | | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §1.63(d)(2) and 1.33(b). | | | *Small Entity Statement filed in prior application Status still proper and desired (PTO/SB/09-12) Certified Copy of Priority Document(s) if foreign priority is claimed) | | | |
| 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is | | | Other: | | | |
| hereby incorporated by reference therein. | | A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon. | | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box and | | • | | · | | |
| ☐Continuation ☐Divisional ☐Continu Prior application information: Examiner | iation-i | n-part (CIP) | of prior applicatio Group / Art Unit: | n NO: / . | | |
| 18. CORRESPO | ONDEN | ICE ADDRE | | | | |
| Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Correspondence address below | | | | | | |
| NAME ADDRESS | | | | | | |
| CITY STATE | | | ZIP CODE | | | |
| COUNTRY TELEPHONE (97 | 2) 91 | 7-4365 | FAX | (972) 917-4418 | | |
| Name (Print/Type) Carlton H. Hoel | | Reg | istration No. (Attorney/Agent) | 29,934 | | |
| Signature | Jul | | Date | 3/1/00 | | |

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DATE:

03/08/2000

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|---|------------------------------------|--|-----------------------------|---|--|--|
| FEE TRANSMITTAL | | Complete If Known | | | | |
| I LL IIVAIIO | | Application Number | | | | |
| Patent fees are subject to annua | | Filing Date | | | | |
| These are the fees effective Small Entity payments must be support | | First Named Inventor | Jacek Stachurski | | | |
| otherwise large entity fees must be paid | d. See Forms PTO/SB/09-12. | Examiner Name | | | | |
| ogicinios raigo cinity roco maar as part | | Group / Art Unit | | | | |
| TOTAL AMOUNT OF DAYMENT | (¢) ¢c00 | Attorney Docket No. | TI-29010 | - | | |

| TOTAL AMOUNT OF PAYM | ENT | (\$) \$690 | | Attorney Docket No. TI- | | | TI-29010 | | | |
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| | D OF PA | YMENT | | FEE CALCULATION (continued) | | | | | | |
| The Commissioner is hereby authorized to charge to the following Deposit Account, | | e following 3 | 3. | ADDITI | ONAL | FEES | | | | |
| Deposit Account Number | | 20-0668 | Fe | arge 'ee ode | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee | Description | Fee Paid |
| | | 10 | 05 | 130 50 | 205 227 | 65 25 | Surcharge - late filing fee Surcharge - late provisional filing fee or cover sheet. | | | |
| Charge any additional fee required or credit any overpayment Charge all indicated fees and any additional fee required or credit any overpayment | | | equired or | 39 | 130 | 139 | 130 | Non-English specification | | |
| | | | 14 | | 2,520 920* | 147 112 | 2,520 920* | For filing a request for reexamination Requesting publication of SIR prior to | | |
| 2. Payment Enclosed: Check Money Other | | | | | | 113 | 1,840* | Examiner action Requesting publication of SIR after | | |
| FFE C | Orde | | | | | | | Examiner action | n | |
| | | ATION | | | 110 | 215 | 55 | | eply within first month | |
| 1. BASIC FILING FEE | | | | | 400 950 | 216 217 | 200 475 | | ne within second month ne within third month | |
| | intity Fee F | ee Description Fee I | | | 1,510 | 218 | 755 | | me within fourth month | |
| Fee (\$) Code | (\$) | oo boompaon | | | 2,060 | 228 | 1,030 | | me within fifth month | |
| | 395 | Utility filing fee \$6 | 90 11 | 19 | 310 | 219 | 155 | Notice of Appe | al | |
| 106 330 206 | 165 C | Design filing fee | | 20 | 310 | 220 | 155 | Filing a brief in | support of an appeal | |
| 107 540 207 | 270 | Plant filing fee | | | 270 | 221 | 135 | Request for oral hearing | | |
| 108 790 208 | 395 R | Reissue filing fee | | | 1,510 | 138 | 1,510 | | tute a pubic use proceeding | |
| 114 150 214 | 75 Pr | rovisional filing fee | 1 ¹⁴ | 40 | 110 | 240 | 55 | Petition to revi | ve - unavoidable | |
| | SUE | STOTAL (1) (\$) 69 | ^^ | | 1,320 | 241 | 660 | | ve - unintentional | |
| | | (0) 0. | | | 1,320 450 | 242 243 | 660 225 | Utility issue fee Design issue fe | · · | |
| 2. EXTRA CLAIM FEES | | | | 670 | 244 | 335 | Plant issue fee | | | |
| | | Fee | 12 | 22 | 130 | 122 | 130 | Petitions to the | Commissioner | |
| Extra Claims from Fee Pald | | Paid 12 | 23 | 50 | 123 | 50 | Petitions relate | d to provisional applications | ; | |
| Total Claims 1 -20**= | 0 | below x 18 = \$0 | 00 | 126 240 126 240 Submission of Information Disclosure Stmt. | | | | | | |
| Independent | | | 00 | 81 | 40 | 581 | 40 | Recording each patent assignment per properly (time number of properties) | | |
| Claims 1 -3** = | 0 | | 14 | 46 | 790 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| Multiple Dependent | | = \$0 | 00 14 | 49 | 790 | 249 | 395 | For each additi | onal invention to be | |
| **or number previously paid, if grea | ter; For Reis | sue, see below | 1 | | | | | examined (or | 511(1.120(5)) | |
| Large Entity Small Fee Fee Fee | Entity Fee | Fee Description | | | | | | | | |
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| 102 78 202 | | dependent Claims in excess | | | | | | | | |
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| 110 22 210 | | Reissue claims in excess of ver original patent | | Other fee (specify) | | | | | | |
| | SUE | BTOTAL (2) (\$) \$0 | 00 | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | | | | | | |
| SUBMITTED BY | | Complete (if applicable) | | | | | cable) | | | |
| Typed or Printed Name | C | Carlton H. Hoel | | Reg. Number 29,934 | | | 29,934 | | | |
| Signature | | My St. | 11/ | 1 | | Date | | 11/00 | Deposit Account User ID | |